

# CRTC

## Xtrackers US National Critical Technologies ETF

The Xtrackers US National Critical Technologies ETF tracks the Solactive Whitney U.S. Critical Technologies Index which is designed to track companies that support critical emerging technologies across the U.S. and its allies by selecting companies from a defined investment universe that satisfy key criteria related to their association with critical technology sectors and their geopolitical risk rating.

For informational purposes only. This document should be used to highlight some of the criteria to be used by index provider when publishing index constituents and is not comprehensive.

Capability Type: Thematic

Index Provider: Solactive As

of: 10/2/2023

## Solactive Whitney U.S. Critical Technologies Index

### Applicable Universe

The Applicable Universe includes all the existing constituents of the Solactive GBS Developed Markets Large & Mid Cap USD Index PR.

### Eligible Universe

**Step 1:** Securities from the starting universe are evaluated based on the below risk-related criteria to derive a final evaluation score. Companies' scores are divided into low, neutral, and high, based on their activities in high-risk, neutral or FVEY countries, based on the country classification.

#### Risk Related Criteria:

- (1) Ownership by Country
- (2) Country Incorporation
- (3) Geographic Revenue
- (4) Geographic Assets
- (5) Customers
- (6) Suppliers
- (7) Board Memberships
- (8) Joint Ventures
- (9) Strategic Alliances
- (10) Sam Exemption List

**Step 2:** Securities from companies that are assigned a sufficiently high combined score ( $\geq 2.3$ ), based on the above evaluation, are selected for the final index, upon confirmation that those companies are also active in Critical Technology sectors.

Source: Solactive

### Security Selection

All eligible securities are selected for inclusion.

### Weighting

Each index component is assigned a weight according to free float market capitalization with the individual weights being capped at 5% and floored at 0.05%.

### Maintenance

The Index is rebalanced 10 weekdays after the last Thursday of January, April, July and October. The rebalance day will be rolled forward in the case that it is not a trading day in New York, London, Frankfurt or Tokyo.

The index does not undergo extraordinary rebalance.

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War, terrorism, sanctions, economic uncertainty, trade disputes, public health crises and related geopolitical events have led and, in the future, may lead to significant disruptions in U.S. and world economies and markets, which may lead to increased market volatility and may have significant adverse effects on the fund and its investments.

**Fund Risks:** Critical technologies are technologies that are deemed to be vital to maintaining the national security of the U.S. now and in the future. Companies involved in critical technologies may be subject to a significant amount of governmental regulation, and changes in governmental policies and the need for regulatory approvals may have a material adverse effect on the critical technologies and the companies involved with them. Critical technologies companies are heavily dependent on patent and intellectual property rights which may be difficult to protect. Investing involves risk, including the possible loss of principal. Stocks may decline in value. This fund is non-diversified and can take larger positions in fewer issues, increasing its potential risk. An investment in the fund should be considered only as a supplement to a complete investment program for those investors willing to accept the risks associated with the fund. Please read the prospectus for more information.

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**Carefully consider the fund's investment objectives, risk factors, and charges and expenses before investing. This and other information can be found in the fund's prospectus, which may be obtained by calling 1-844-851-4255, or by viewing or downloading a prospectus from [www.Xtrackers.com](http://www.Xtrackers.com). Read the prospectus carefully before investing.**

Indexes are unmanaged and you cannot invest directly in an index. Shares are not individually redeemable, and owners of Shares may acquire those Shares from the Fund, or tender such Shares for redemption to the Fund, in Creation Units only.

**Investment products: No bank guarantee | Not FDIC insured | May lose value**

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